

King's Town Bank Co., Ltd. Mandatory Disclosure Regarding the Collection, Processing, and Use of Personal Data

Dear customers: As the Bank's collection of personal data involves your privacy rights, King's Town Bank Co., Ltd. (hereinafter referred to as "the Bank") hereby informs you within the scope of necessary business operations (in line with the business operation with you) the following matters in accordance with Article 8 Paragraph 1 of the Personal Data Protection Act (hereinafter referred to as "PDPA").

I. Purpose of data collection:

It shall be consistent with the Bank's business registration or the type of business permitted by law as set forth in the attachment, and shall be for the specific purpose of complying with Section 6038 of the U.S. Anti-Money Laundering Act of 2020.

II. Personal data collected:

Personal data includes name, identification number, gender, date of birth, correspondence, and other contents such as application forms and contracts with the Bank's services, or other information required for certain services (i.e. insurance history is collected for insurance services, personal information obtained from telecommunication companies through the use of electronic devices and communication equipment includes, but is not limited to, name, address, telephone number, e-mail address, payment records, and telecommunication scores.). The personal data collected shall be that collected by customers, or third parties (for example, the Joint Credit Information Center) for related businesses, accounts, or services.

III. Source of personal data (applicable to indirect collection)

- (I) Legal agents / assistants / guardians of the concerned party
- (II) Insurers/insures
- (III) Third parties who have business relations with the Bank (such as companies collaborating with the Bank on marketing matters or the Bank's entrustees or entrusters, telecommunication operators or telecommunication certification service providers, etc.)

IV. The time period, territory, recipients, and methods of the personal data is used

- (I) Time period: The time period is the use period of the personal data collected for a specific purpose, the retention period as required by the law or contractual agreements (such as Money Laundering Control Act, Business Entity Accounting Act, etc.), or the period required to carry out the Bank's services.
- (II) Territory: The territory is where the recipients are located.
- (III) Recipients: Recipients include the Bank (including agencies that are entrusted by the Bank), agencies statutorily permitted to the use of personal data, other agencies involved in related services (including: correspondent banks, Joint Credit Information Center, Taiwan Clearing House, Financial Information Service Co., Ltd. credit insurance institutions, Central Deposit Insurance Corporation, recipients expected to receive assets or liabilities through the Bank, The Life Insurance Association of Republic of China, The Non-Life Insurance Association of Republic of China, Taiwan Insurance Institute Taiwan Insurance Guaranty Fund, Taiwan Residential Earthquake Insurance Fund, Motor Vehicle Accident Compensation Fund, Institute of Financial Law and Crime Prevention, Trade-Van Information Services Co., Ministry of Health and Welfare, Financial Ombudsman Institution, Insurance companies that have business dealings with the Bank, the recipients of cross-border personal data transfer that are not restricted by the central authorities of the purpose businesses, other contracting third parties of the Bank), the investigative authority or financial regulatory authority (e.g., the Financial Supervisory Commission, the U.S. Department of the Treasury, or the U.S. Department of Justice) under domestic or foreign laws and regulations, parties agreed by you (including: affiliates of the Bank, companies collaborating with the Bank on marketing matters, and transaction recipients).
- (IV) Method: By automated machines or other non-automated means of use (including but not limited to paper, electronic means, or international transmission).

V. Pursuant to Article 3 of the PDPA, you have the right to the following matters regarding your personal data held by the Bank:

- (I) Unless in circumstances stated in Article 10 of the PDPA, you have the right to make an inquiry of, review, and request a copy of your personal data from the Bank. However, the Bank may charge a fee to cover the necessary cost in accordance with Article 14 of PDPA.
- (II) You have the right to request the Bank to supplement or correct your personal data. However, in

circumstances stated in Article 19 of the Enforcement Rules of the Personal Data Protection Act, you must provide an explanation of the reason and the incident.

- (III) In circumstances where the Bank violates the PDPA in the collection, processing, or using of your personal data, you may request the Bank to cease the personal data collection pursuant to Article 11 Paragraph 4 of the PDPA.
- (IV) In the event of a dispute regarding the correctness of the personal data, you may request to the Bank to cease processing or using your personal data in accordance with Article 11 Paragraph 2 of the PDPA. However, according to the later part of the paragraph, the said matter shall not apply if the processing or use is either necessary for the performance of the Bank's business duty, and the dispute has been recorded or has been agreed to by you.
- (V) When the specific purpose of data collection no longer exists, or upon expiration of the relevant time period, you may request the Bank to erase, or cease processing or using your personal data in accordance with Article 11 Paragraph 3 of the PDPA. However, according to the later part of the paragraph, the said matter shall not apply if the processing or use is either necessary for the performance of the Bank's business duty, or has been agreed to by you.

VI. If you wish to exercise the rights in the aforementioned three paragraphs, please bring your identification documents and sample stamps to any branch of the Bank to apply in writing.

VII. Impacts on your interests and rights may be incurred by your not providing your personal data.

You have the right to whether to provide your relevant personal data and types of information. However, if the information you choose not to provide is necessary for certain services or approval work, the Bank may not be able to carry out the necessary approvals, or services, which in turn the Bank will not be able to provide relevant or good services to you.

- ※ **This Mandatory Disclosure has been disclosed on the company website (www.ktb.com.tw) and shall serve as supplement information but not a replacement of previous agreements. If there is any dispute between this Mandatory Disclosure and your previous agreements with the Bank regarding personal data, this Mandatory Disclosure shall prevail.**
- ※ **The Bank has the right to revise this Mandatory Disclosure in accordance with the laws, or based on the circumstances, and inform you of the revised content to you through oral messages, written documents, telephone calls, text messages, e-mails, fax, digital documents, announcement on the website, or other methods. If you continue the business with the Bank, it is deemed that you have understood the revised content.**

[Attachment]

| Business Operations | Specific purposes and codes | Common purposes and codes |
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| I. Deposits and remittance | 022 Foreign currencies 036 Deposits and remittance 067 Credit card, cash card, debit card, or stored value card 082 Integrated management of loan and deposit accounts 112 Check clearance 181 Other businesses registered or specified on the Articles of Incorporation. | 040 Marketing (including cross-selling and joint marketing) 059 Information collection, processing, and use required by law on financial service providers, and for supervisory purpose 060 Resolution of financial disputes 063 Personal data collection, processing, and use required by law on non-government institutions 069 Management of contracts, quasi-contracts, or legal relations 090 Consumer and customer management and service 091 Consumer protection 098 Commercial and technical information 104 Account management and financial claim transactions 136 Information, communication, and database management 137 Information security incident management 157 Survey, statistics, and analysis 182 Other consulting services |
| II. Credit | 022 Foreign currencies 067 Credit card, cash card, debit card, or stored value card 082 Integrated management of loan and deposit accounts 088 Loan approval and extension 106 Loan extension 111 Financial bills 126 Debt factoring and creditor's right purchase 154 Credit check 181 Other businesses registered or specified on the Articles of Incorporation. | |
| III. Foreign exchange | 022 Foreign currencies 036 Deposits and remittance 082 Integrated management of loan and deposit accounts 088 Loan approval and extension 106 Loan extension 154 Credit check 181 Other businesses registered or specified on the Articles of Incorporation. | |
| IV. Wealth management | 022 Foreign currencies 036 Deposits and remittance 044 Investment management 068 Trust 082 Integrated management of loan and deposit accounts 166 Securities, futures, securities investment trust, and consulting services 094 Property management 181 Other businesses registered or specified on the Articles of Incorporation. | |
| V. Insurance agency | 001 Life and health insurance 065 Insurance brokerage, agency, and notarization 093 Property insurance 181 Other businesses registered or specified on the Articles of Incorporation | |

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| VI. Other businesses registered or specified on the Articles of Incorporation, or other relevant businesses approved by the central authority. | 181 Other businesses registered or specified on the Articles of Incorporation: Electronic banking | |
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